# L06: First-order Logic Proof

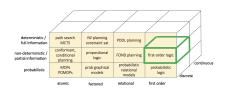
AIMA4e: Chapter 7.5, 9.1, 9.2, 9.5

# What you should know after this lecture

- First-order resolution theorem proving
- Forward-chaining and Prolog (basic ideas)

# Reasoning about object-based, open-world, partially-specified world states

Factored states
Boolean-valued factors
Objects as indices
Infinite domains



# Syntactic proof

Recall, a <u>proof procedure</u> takes two sentences,  $\alpha$  and  $\beta$ , and tells you whether it can prove  $\beta$  from  $\alpha$ :

$$\alpha \vdash \beta$$

Proof procedure is

- sound iff for all  $\alpha$ ,  $\beta$ , if  $\alpha \vdash \beta$  then  $\alpha \models \beta$
- complete iff for all  $\alpha$ ,  $\beta$ , if  $\alpha \models \beta$  then  $\alpha \vdash \beta$

We have looked at proof procedures that operate <u>via</u> enumerating models. But that is incomplete and/or inefficient in many cases. So, we will look at purely <u>syntactic</u> proof, that operates entirely on logical sentences.

# One proof strategy: resolution refutation

## To prove $\alpha \models \beta$ :

- Write  $\alpha$  as one or more premises
- Inference rules tell you what you can <u>add</u> to your proof given what you already have. Logic is monotonic.
- When the rules have allowed you to write down β, then you're done.

## Proof by refutation:

- To prove  $\alpha \models \beta$
- Instead show that  $\alpha \land \neg \beta \models \mathbf{False}$

#### Inference rules:

- Lots of interesting proof systems (sets of inference rules)
- We would like one that is sound and complete:  $(\alpha \vdash \beta) \equiv (\alpha \models \beta)$
- Refutation using the <u>resolution</u> inference rule is sound and complete!!

## Propositional resolution: reminder

General inference rule form: If you have  $\alpha$  and  $\beta$  written down in your proof, you can now write  $\gamma$ .

$$\frac{\alpha \quad \beta}{\gamma}$$

Modus Ponens:

$$\frac{P\Rightarrow Q\quad P}{O}$$

Propositional Resolution:

$$\frac{(P \vee Q_1 \vee \ldots, \vee Q_n) \quad (\neg P \vee R_1 \vee \ldots \vee R_m)}{(Q_1 \vee \ldots \vee Q_n \vee R_1 \vee \ldots \vee R_m)}$$

## Clausal form

#### Resolution requires sentences in first-order clausal form.

- 1. Rename variables so that they are all distinct.
- 2. Convert implications into disjunctions.
- 3. Push negations all the way in, using FO DeMorgan:  $\neg \exists x. \alpha \equiv \forall x. \neg \alpha \text{ and } \neg \forall x. \alpha \equiv \exists x. \neg \alpha$
- 4. Move all quantifiers to the front, maintaining their order.
- 5. Replace every existentially quantified variable with a <u>Skolem function</u> of any universally quantified variables that <u>come before</u> it.
- 6. Drop the universal quantifiers.
- 7. Convert to CNF.

## Clausal form practice

Every dog has its day.

$$\begin{split} \forall x. Dog(x) &\Rightarrow \exists y. Day(y) \wedge Has(x,y) \\ \forall x. \neg Dog(x) \vee \exists y. Day(y) \wedge Has(x,y) \\ \forall x. \exists y. \neg Dog(x) \vee (Day(y) \wedge Has(x,y)) \\ \forall x. \neg Dog(x) \vee (Day(f_1(x)) \wedge Has(x,f_1(x))) \\ \neg Dog(x) \vee (Day(f_1(x)) \wedge Has(x,f_1(x))) \\ (\neg Dog(x) \vee Day(f_1(x))) \wedge (\neg Dog(x) \vee Has(x,f_1(x))) \end{split}$$

There is at least one dog! There are no days. 
$$\exists x. \mathsf{Dog}(x) \qquad \qquad \neg \exists x. \mathsf{Day}(x) \\ \mathsf{Dog}(\mathsf{f_2}) \qquad \qquad \forall x. \neg \mathsf{Day}(x) \\ \neg \mathsf{Day}(x)$$

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# Unification: matching literals

Returns substitution:  $\{v_1/t_1, \ldots, v_k/t_k\}$ ; variables  $v_i$  terms  $t_i$ . The most general substitution that makes  $\alpha$  and  $\beta$  equal.

```
UNIFY (\alpha, \beta, \theta)
     if \theta = \text{'fail'} return 'fail'
     if \alpha = \beta return \theta
     if is-var(\alpha) return unify-var(\alpha, \beta, \theta)
     if is-var(\beta) return unify-var(\beta, \alpha, \theta)
     if struct(\alpha) and struct(\beta):
             return UNIFY(\alpha[1:], \beta[1:], \text{UNIFY}(\alpha[0], \beta[0], \theta))
     else return 'fail'
UNIFY-VAR(\alpha, \beta, \theta)
     if \{\alpha/\gamma\} \in \theta return UNIFY(\gamma, \beta, \theta)
     if \{\beta/\gamma\} \in \theta return UNIFY(\gamma, \alpha, \theta)
     if occurs (\alpha, \beta) return 'fail'
     else return \theta \cup \{\alpha/\beta\}
```

# Unification examples

α	β	θ
A(B,C)	A(x,y)	$\{x/B, y/C\}$
A(x,f(D,x))	A(E, f(D, y))	$\{x/E, y/E\}$
A(x, y)	A(f(C,y),z)	${x/f(C,y),y/z}$
P(A, x, f(g(y)))	P(y, f(z), f(z)),	$\{y/A, x/f(z), z/g(y)\}$
P(x, g(f(A)), f(x))	P(f(y), z, y)	fail
P(x, f(y))	P(z, g(w))	fail
P(x)	Q(x)	fail

## Resolution!

$$\frac{(l_1 \vee \ldots \vee l_n) \quad (m_1 \vee \ldots \vee m_k)}{\text{subst}(\theta, l_2 \vee \ldots \vee l_n \vee m_2 \vee \ldots \vee m_k)}$$

where unify  $(l_1, \neg m_1) = \theta$ .

Plus one more trick called factoring: basically, internal unification.

**Theorem**: Resolution plus factoring is refutation complete.

If you have equality, you need one more trick: paramodulation.

# Dog days

Do these two sentences

$$\forall x. Dog(x) \Rightarrow \exists y. Day(y) \land Has(x, y) \\ \exists x. Dog(x)$$

entail

$$\exists x. Day(x)$$

## Prove it!

Write down  $\alpha$  and  $\neg \beta$  in clausal form. Try to prove **False**.

1. 
$$\neg Dog(x) \lor Day(f_1(x))$$

2. 
$$\neg Dog(x) \lor Has(x, f_1(x))$$

- 3.  $Dog(f_2)$
- 4.  $\neg Day(x)$

So, yes, if there's a dog, there's a day!

- 5.  $Day(f_1(f_2))$
- 1,3  $\{x/f_2\}$ 4,5  $\{x/f_1(f_2)\}$ 6. False

## Horn clauses

A <u>Horn clause</u> is a clause (disjunction of literals) with <u>exactly one</u> positive literal. Looks like

$$\alpha \wedge \beta \wedge \gamma \Rightarrow \delta$$

<u>Datalog</u>: Horn clauses with no function symbols. More efficient inference. Decidable.

<u>Prolog</u>: Horn clauses. Depth-first backward chaining. Basis of <u>logic</u> <u>programming</u> which then adds extra tricks for handling negation, equality, and even side-effects.

# Completeness and decidability

**Goedel's Completeness Theorem:** There exists a complete proof system for FOL.

**Robinson's Completeness Theorem:** Resolution is a <u>refutation</u> <u>complete</u> proof system for FOL.

FOL is semi-decidable: if  $\alpha \models \beta$  then eventually resolution refutation will find a contradiction. But if not, it might run forever!

**Goedel's First Incompleteness Theorem:** There is no consistent, complete proof system for FOL with arithmetic (+ and  $\times)$ .

Arithmetic allows you to construct code-names for sentences within the logic, so that P = "P is not provable". Then

• If P is true: P is not provable (incomplete)

• If P is false: P is provable (inconsistent)

## Next time

• Connections to learning!